

October 1, 2011

To: Public Treasurers

Subject: Certified Investment Advisers

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Following is the list of Certified Investment Advisers for the quarter beginning October 1, 2011 through December 31, 2011. This list supersedes the list dated July 1, 2011.

Transactions involving authorized deposits or investments of public funds may be conducted only through issuers of securities authorized by Section 51-7-11(3), qualified depositories (refer to current list), certified agents of dealers (see list), or the Certified Investment Advisers included in this list. Certified Investment Advisers are required under 51-7-11.5 to purchase investments through qualified depositories, certified dealers or directly with the issuer. Authorized deposits or investments are generally limited to those securities listed in Section 51-7-11(3).

The following are changes from the list dated July 1, 2011. **Name Changes:** None. **Additions:** None. **Deletions:** None.

All securities purchased through a certified investment adviser are required to be delivered to the public treasurer or to the treasurer's safekeeping bank. Please remember to send a copy of your I.A. statement and broker confirm when filing your deposit and investment report to the Council.

Advisers included in this list have met the minimum standards established by Utah Administrative Code, Section R628-15. **Inclusion in the list of certified investment advisers does not constitute an endorsement or recommendation by the Money Management Council or by the Securities Division of the Department of Commerce.** Compliance with the Money Management Act and Rules of the Council continues to be the responsibility of each public treasurer.

Advisory Firm

Alliance Bernstein LP

Investment Representatives

Joseph E. Brienza
Ward Cerny
Jeffrey M. Fryer
Jonathan M. Fung
Jeffrey F. Jagard
Beverly B. Karns
Franklin J. Laden
Greg F. Londo

Advisory Firm

Cutwater Asset Management

Morgan Stanley Smith Barney

Zions Wealth Advisors DBA for
Contango Capital Advisors Inc.

Investment Representatives

Mary Donovan
Bruce G. Ely
Marc H. McClure
Randy Palomba
Neil S. Waud
David Witthohn

Cody C. Adams
Paul Barton
Douglas Felt
John Hallgren
Debra Lyman
Thomas Nielson
Brian Parcell
Bart Reuling
Robert Rose
Ken Webster
Betsy Whipple

Scott R. Burnett
Jordan Hansen
Andrew J. Robbins
Diane Veltri
Jason M. Williams